Xanthenes: Fluorone Derivatives II

Jianmin Shi, Xian-Ping Zhang and Douglas C. Neckers*

Center for Photochemical Sciences¹ Bowling Green State University Bowling Green, Ohio 43403

Key words: Xanthene, Fluorone, Bathochromic shift.

Abstract: A series of 9-hydrogen and 9-cyano substituted xanthenes was synthesized and their absorption spectra compared. It was found that the cyano group at the C-9 position can produce large bathochromic shifts (about 100 nm).

The xanthene dyes are among the oldest synthetic dyes and have many important applications.² These compounds, which include eosin, phloxin, erythrosin and rose bengal, have the same ring skeleton and absorb, in general, around 500 nm depending on the different ring substituents, Table 1.3

Table 1



Xanthenes	х 	Y I	Z Cl	λmax nm in EtOH	
Rose bengal				557	
Erythrosin	I	I	н	Δλ 25 nm 532	
Phloxin	Br	Br	Cl	548	
Eosin	Br	Br	н	Δλ 25 nπ 523	

Table 1: Example Xanthene Dyes

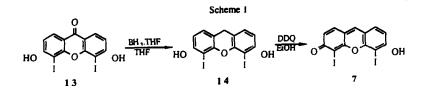
For many applications, it is desirable to extend the spectral sensitivity to longer wavelengths while retaining the general structure. As a part of fundamental studies of the xanthene dyes, we have recently synthesized new xanthene derivatives, the hydroxyfluorones.⁴ Though the substitution of hydrogen for

phenyl at C-9 has only a minor influence on the absorption and emission spectra, the maximum absorptions of erythrosin and Rose Bengal differ by 20+ nm so that it is clear that the substitution pattern at the C-9 position has an important influence (See Table 1, compare also eosin/phloxin). We were intrigued by the observation that the presence of an electron acceptor such as tetrachlorophenyl at the C-9 position could cause such a bathochromic shift. As crystal structures of typical xanthenes have shown,⁵ the large phenyl substituent at the C-9 position is severely twisted out of the plane of the fluorone and should have a limited effect on the delocalization of π -electron density from the fluorone ring.

One approach to producing a large bathochromic shift in the absorption of the basic fluorone skeleton may involve intramolecular charge-transfer (CT) chromophores. Examples of such are generally found in quinoid, azo, and indigo chromophores.⁶ It is notable that the xanthene dyes, in spite of their importance, have not been evaluated in term of this substituent effect. It seemed reasonable to us that the introduction of a stronger electron acceptor, such as a cyano group, at the C-9 position could produce a large bathochromic shift.

Herein, we report the synthesis of a series of novel C-9 hydrogen and cyano substituted xanthene dyes for exploring this matter.

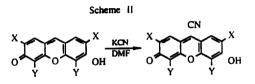
Compounds 1, 3, 5 were synthesized by the previous method.⁴ Compound 9 was obtained by treatment of 3,6-dihydroxyxanthane and 2-methyl-2-propanol with 15-18% fuming sulfuric acid. Iodination of 9 produced 11. The synthesis of compound 7 started from 3,6-dihydroxy-4,5-diiodoxanthone 13.⁷ A salient feature of the synthesis was the reduction of the carbonyl group in compound 13 without effecting the iodo substituent by using the borane tetrahydrofuran complex. Dye 7 was obtained by treatment of reduction product 14 with DDQ in ethanol. Scheme I.



To prepare the cyano derivatives, the C-9 hydrogen substituted hydroxyfluorones 1, 3, 5, 7, 9, 11 were treated with potassium cyanide in dimethylformidey. The reaction likely started with a 1,6-conjugated addition followed by oxidation, Scheme II.

A typical procedure for preparing the compound 8 was described as follows:

Potassium cyanide (19 mg, 0.3 mmol.) was added to the solution of 7 (70 mg, 0.15 mmol.) in 1.5 ml of DMF. The reaction was monitored by visible spectrum until compound 7 was completely consumed. The reaction solution was treated with 25.0 ml of 4:1 hexane:dichloromethane, after which the precipitate was filtered, dried and treated with 5% HCl (should be done in the hood with pre-cautions: take care!) then washed with water. 4,5-Diiodo-9-cyano-3-hydroxy-6-fluorone **8** (70 mg) was obtained in 95% yield. ¹HNMR (DMSO): 7.38 (2H, d, J=9.2 Hz), 6.46 (2H, d, J=9.2 Hz).



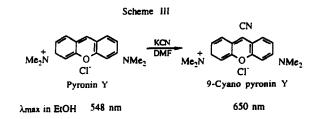
A comparison of the absorption spectra of these novel dyes showed that the 9-cyano substituted xanthene dye, in general, demonstrates about a 100 nm (or larger) bathochromic shift relative to the 9-hydrogen substituted compounds. (Table 2) This permits the design and synthesis of a new class of xanthene dyes with absorption, in general, near 600 nm which is the ideal region to use with He/Nd lasers as light sources for many application purposes.



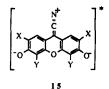
No. 1	R H	х	Ү 	λ max in EtOH ϵ	
				504	24700
2	CN	н	н	594, 548	50300, 24700
3	н	Br	Br	530	39300
4	CN	Br	Br	626, 576	51400, 24500
5	н	I	1	536	91200
6	CN	I	I	638, 586	80000, 35000
7	н	н	I	520	86000
8	CN	н	I	618, 570	30500, 16600
9	н	t-Bu	н	518	101000
10	CN	t-Bu	н	614, 564	47400, 23200
11	н	t-Bu	I	532	90800
12	CN	t-Bu	I	636, 582	68300, 33600

Table 2

To test the generality of this larger bathochromic shift in other xanthene analogs, 9-cyano pyronin Y was synthesized.⁸ Its absorption spectrum was compared with pyronin Y and the same large bathochromic shift was observed, Scheme III.



It is anticipated that the introduction of the cyano group at the C-9 position will generate the lower energy excited state 15 which could be responsible for this large bathochromic shift.



Acknowledgements:

This work was supported by a grant from the Ohio Department of Development, Edison Program, and by a grant from Spectra Group Ltd.. The authors are grateful for this support.

References and notes

- 1. Contribution # 154 from the Center for Photochemical Sciences
- 2. See, for example: (a) Valdes-Aguilera, O.; Pathak, C.P.; Shi, J.; Watson, D. and Neckers, D.C. Macromolecules, 1992, 25, 541. (b) Wang, C.J.: Stroupe, S.D.: Jolley, M.E. U.S. patent 4,585,862, 1986. (c) Eaton, D.F., Adv. Photochem., 1986, 13, 427.
- 3. Neckers, D.C. J. Photochem. and Photobio., 1989, 47, 1.
- Shi, J.; Zhang, X. and Neckers, D.C. J. Org. Chem., (submitted) 1992.
 Valdes-Aguilera, O.M., and Neckers, D.C., Advances in Photochemistyr, Vol. 18, Wiley, N.Y. 1993.
- (a) Kim, S.H.; Matsuoka, M.; Yodoshi, T.; Suga, K. and Kitao, T. J. Soc. Dyes Color-ists, 1989, 105, 212.
 (b) Kubo, Y.; Mori, F. and Yoshida, K. Chem. Lett., 1987, 1761.
 (c) Bello, K.A.; and Griffths, J. J. Chem. Soc., Chem. Commun., 1986, 1639. (d) Bello, K.A.; Cheng, L. and Griffiths, J. J. Chem. Soc. Perkin Trans. II, 1987, 815. (e) Kubo, Y.; Mori, F.; Komatsu, K. and Yoshida, K. J. Chem. Soc. Perkin Trans. I. 1988. 2439.
- 7. Gaekwad, Y.G. and Sethna, S. J. Indian Chem. Soc., 1978, LV, 794.
- 8. The 9-cyano pyronin Y was difficult to purify.

(Received in USA 8 December 1992; accepted 22 July 1993)